invenio-records Documentation

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Invenio-Records is a metadata storage module. A *record* is a JSON document with revision history identified by a unique UUID .

Features:

- Generic JSON document storage with revision history.
- JSONSchema validation of documents.
- Records creation, update and deletion.
- Administration interface for CRUD operations on records.

Further documentation available Documentation: https://invenio-records.readthedocs.io/

CHAPTER 1

User's Guide

This part of the documentation will show you how to get started in using Invenio-Records.

1.1 Installation

Invenio-Records can be installed from PyPI. Several installation options are possible, for example to use SQLite database backend:

pip install invenio-records[sqlite]

The other installation [options] include:

access for access control capabilities;

docs for documentation building dependencies;

mysql to use MySQL database backend;

postgresql to use PostgreSQL database backend;

sqlite to use SQLite database backend;

admin for Flask administration interfaces;

tests for test dependencies.

1.2 Usage

Invenio-Records is a metadata storage module.

In a few words, a record is basically a structured collection of fields and values (metadata) which provides information about other data.

A record (and each revision) is identified by a unique UUID, as most of the others entities in Invenio.

Invenio-Records is a core component of Invenio and it provides a way to create, update and delete records. Records are versioned, to keep track of modifications and to be able to revert back to a specific revision.

When creating or updating a record, if the record contains a schema definition, the record data will be validated against its schema. Moreover, data format can for each field be also validated.

When deleting a record, two options are available:

- **soft deletion**: record will be deletes but keeping its identifier and history, to ensure that the same record's identifier cannot be reused, and that older revisions can be retrieved.
- hard deletion: record will be completely deleted with its history.

Records creation and update can be validated if the schema is provided.

Further documentation available Documentation: https://invenio-records.readthedocs.io/

1.2.1 Initialization

Create a Flask application:

```
>>> import os
>>> db_url = os.environ.get('SQLALCHEMY_DATABASE_URI', 'sqlite://')
>>> from flask import Flask
>>> app = Flask('myapp')
>>> app.config.update({
... 'SQLALCHEMY_DATABASE_URI': db_url,
... 'SQLALCHEMY_TRACK_MODIFICATIONS': False,
... })
```

Initialize Invenio-Records dependencies and Invenio-Records itself:

```
>>> from invenio_db import InvenioDB
>>> ext_db = InvenioDB(app)
>>> from invenio_records import InvenioRecords
>>> ext_records = InvenioRecords(app)
```

The following examples needs to run in a Flask application context, so let's push one:

>>> app.app_context().push()

Also, for the examples to work we need to create the database and tables (note, in this example we use an in-memory SQLite database by default):

```
>>> from invenio_db import db
>>> db.create_all()
```

1.2.2 CRUD operations

Creation

Let's create a very simple record:

```
>>> from invenio_records import Record
>>> record = Record.create({"title": "The title of the record"})
>>> db.session.commit()
>>> assert record.revision_id == 0
```

A new row has been added to the database, in the table records_metadata: this corresponds to the record metadata, first version (version 1).

Update

Let's try to **update** the previously created record with new data. This will create a new version of the previous with the same uuid but incremented version/revision id. Update the record and **commit** the changes to apply them to the record:

```
>>> record['title'] = 'The title of the 2nd version of the record'
>>> record = record.commit()  # validate new data and store changes
>>> db.session.commit()
>>> assert record.revision_id == 1
```

A second row has been added, version 2. You can access to the different versions by doing:

```
>>> rec_v1 = record.revisions[0]
>>> rec_v2 = record.revisions[1]
```

Reverting

To **restore** the first version of the record, just:

```
>>> record = record.revert(0)
>>> db.session.commit()
>>> assert record.revision_id == 2
```

Patch

It is also possible to **patch** a record to perform multiple operations in one shot:

```
>>> record = Record.create({"title": "First title"})
>>> db.session.commit()
>>> assert len(record.revisions) == 1
```

```
>>> ops = [
... {"op": "replace", "path": "/title", "value": "Title first record"},
... {"op": "add", "path": "/description", "value": "Record description"}
... ]
```

```
>>> record = record.patch(ops)
>>> record = record.commit()
>>> db.session.commit()
>>> assert len(record.revisions) == 2
```

See JSON Patch documentation to have nice examples.

Deletion

Let's create another record and then soft delete it:

```
>>> record = Record.create({"title": "Record to be deleted"})
>>> db.session.commit()
>>> record['title'] = 'Record to be deleted version 2'
>>> record = record.commit()
>>> db.session.commit()
```

```
>>> deleted = record.delete()
```

There is only one row left in the database corresponding to this record. Notice that the json column is empty, but the uuid is still there. This ensures uniqueness. The record can be retrieved by doing:

```
>>> deleted = Record.get_record(record.id, with_deleted=True)
>>> assert deleted.id == record.id
```

Let's hard delete it, completely:

```
>>> deleted = record.delete(force=True)
```

Now, try to retrieve it, it will throw an exception.

```
>>> Record.get_record(record.id,
... with_deleted=True) # doctest: +IGNORE_EXCEPTION_DETAIL
Traceback (most recent call last):
...
NoResultFound: No row was found for one()
```

1.2.3 Record validation

When creating or updating a record, the input data can be validated to ensure that it is conform to a specified schema and values formats are respected. The validation is provided by the jsonschema library.

How jsonschema works

• Format checker: create a custom format checker (or use one of the available), for example to validate if the first letter of a string is uppercase:

Now, let's try it out:

>>> validator.validate("Title of the record")

Does not throw any exception, because the data is valid, the first letter is uppercase.

```
>>> validator.validate(
... "title of the record") # doctest: +IGNORE_EXCEPTION_DETAIL
Traceback (most recent call last):
...
```

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```
ValidationError: 'title of the record' is not a 'uppercaseFirstLetter'
   ...
```

This raises a ValidationError error exception, because the first letter is lowercase.

• Schema validator: create a validator to ensure that the input data structure, fields and types conform to a specific schema.

```
>>> schema = {
... 'type': 'object',
... 'properties': {
... 'title': { 'type': 'string' },
... 'description': { 'type': 'string' }
... },
... 'required': ['title']
... }
```

Try to validate a record without the field *title*, which is required.

```
>>> from jsonschema.validators import validate
>>> record = {"description": "Description but no title"}
>>> validate(record, schema) # doctest: +IGNORE_EXCEPTION_DETAIL
Traceback (most recent call last):
...
ValidationError: 'title' is a required property
...
```

If the JSON schema is not defined inside the JSON itself, like in the example, but it is defined somewhere else (e.g. any schema provider service), the record should contain the *\$ref* field with the URI link to the schema definition. Record provides a method *api.RecordBase.replace_refs()* that will resolve the URI in the *\$ref* field and return a new Record with the schema definition injected.

Invenio-Records validation

Let's put everything together and create a record with validation and format checking: define a schema with a mandatory title field and a validation format for the title field.

```
>>> from jsonschema import FormatChecker
>>> checker = FormatChecker()
>>> f = checker.checks("uppercaseFirstLetter")(lambda value: value[0]
                                                      .isupper())
. . .
>>> schema = {
         'type':'object',
. . .
         'properties': {
. . .
             'title': {
. . .
                 'type':'string',
. . .
                  'format': 'uppercaseFirstLetter'
. . .
             },
. . .
             'description': {
. . .
                  'type':'string'
. . .
             }
. . .
         },
. . .
         'required': ['title']
. . .
...}
```

Create a new record with an invalid value format for the title field. Notice that the schema must be defined in the record with the field <code>\$schema</code> and the format checker must be passed as kwarg argument with the key <code>format_checker</code>, to be taken into account by the *jsonschema* library.

```
>>> record = {
... "$schema": schema,
... "title": "title of this record", # first letter is lowercase
... "description": "Description of this record"
... }
>>> rec = Record.create(record,
... format_checker=checker) # doctest: +IGNORE_EXCEPTION_DETAIL
Traceback (most recent call last):
...
ValidationError: 'title of this record' is not a 'uppercaseFirstLetter'
...
```

Create a new record without the title field:

```
>>> record = {
... "$schema": schema,
... "description": "Description of this record without a title"
... }
>>> rec = Record.create(record,
... format_checker=checker) # doctest: +IGNORE_EXCEPTION_DETAIL
Traceback (most recent call last):
...
ValidationError: 'title' is a required property
...
```

1.2.4 Signals

Invenio-Records provides several types of signals and they can be used to react to events to read or modify data before or after an operation.

Events are sent in case of:

- · record creation, before and after
- · record update, before and after
- · record deletion, before and after
- · record revert, before and after

Let's modify the record before creation and verify, after creation, that the record has been correctly modified:

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```
>>> rec_events = Record.create({"title": "My new record"})
>>> db.session.commit()
```

See API Docs for extensive API documentation.

CHAPTER 2

API Reference

If you are looking for information on a specific function, class or method, this part of the documentation is for you.

2.1 API Docs

Invenio module for metadata storage.

```
class invenio_records.ext.InvenioRecords (app=None, **kwargs) Invenio-Records extension.
```

Extension initialization.

init_app (app, entry_point_group='invenio_records.jsonresolver', **kwargs)
Flask application initialization.

Parameters

- **app** The Flask application.
- **entry_point_group** The entrypoint for jsonresolver extensions. (Default: 'invenio_records.jsonresolver')

```
init_config(app)
```

Initialize configuration.

Parameters app – The Flask application.

2.1.1 Record API

Record API.

class invenio_records.api.**Record** (*data*, *model=None*) Define API for metadata creation and manipulation.

Initialize instance with dictionary data and SQLAlchemy model.

Parameters

- **data** Dict with record metadata.
- model RecordMetadata instance.

commit (**kwargs)

Store changes of the current record instance in the database.

- 1. Send a signal *invenio_records.signals.before_record_update* with the current record to be committed as parameter.
- 2. Validate the current record data.
- 3. Commit the current record in the database.
- 4. Send a signal *invenio_records.signals.after_record_update* with the committed record as parameter.

Keyword Arguments

- format_checker An instance of the class jsonschema.FormatChecker, which contains validation rules for formats. See *validate()* for more details.
- validator A jsonschema. IValidator class that will be used to validate the record. See validate () for more details.

Returns The Record instance.

classmethod create(data, id_=None, **kwargs)

Create a new record instance and store it in the database.

- 1. Send a signal *invenio_records.signals.before_record_insert* with the new record as parameter.
- 2. Validate the new record data.
- 3. Add the new record in the database.
- 4. Send a signal *invenio_records.signals.after_record_insert* with the new created record as parameter.

Keyword Arguments

- format_checker An instance of the class jsonschema.FormatChecker, which contains validation rules for formats. See *validate()* for more details.
- validator A jsonschema. IValidator class that will be used to validate the record. See validate () for more details.

Parameters

- **data** Dict with the record metadata.
- id Specify a UUID to use for the new record, instead of automatically generated.

Returns A new Record instance.

delete (force=False)

Delete a record.

If *force* is False, the record is soft-deleted: record data will be deleted but the record identifier and the history of the record will be kept. This ensures that the same record identifier cannot be used twice, and

that you can still retrieve its history. If *force* is True, then the record is completely deleted from the database.

- 1. Send a signal *invenio_records.signals.before_record_delete* with the current record as parameter.
- 2. Delete or soft-delete the current record.
- 3. Send a signal *invenio_records.signals.after_record_delete* with the current deleted record as parameter.

Parameters force – if True, completely deletes the current record from the database, otherwise soft-deletes it.

Returns The deleted *Record* instance.

classmethod get_record(id_, with_deleted=False)

Retrieve the record by id.

Raise a database exception if the record does not exist.

Parameters

- **id** record ID.
- with_deleted If *True* then it includes deleted records.

Returns The Record instance.

classmethod get_records (*ids*, *with_deleted=False*)

Retrieve multiple records by id.

Parameters

- **ids** List of record IDs.
- with_deleted If *True* then it includes deleted records.

Returns A list of Record instances.

model_cls

alias of invenio_records.models.RecordMetadata

patch (patch)

Patch record metadata.

Params patch Dictionary of record metadata.

Returns A new Record instance.

revert (revision_id)

Revert the record to a specific revision.

- 1. Send a signal *invenio_records.signals.before_record_revert* with the current record as parameter.
- 2. Revert the record to the revision id passed as parameter.
- 3. Send a signal *invenio_records.signals.after_record_revert* with the reverted record as parameter.

Parameters revision_id – Specify the record revision id

Returns The Record instance corresponding to the revision id

revisions

Get revisions iterator.

```
class invenio_records.api.RecordBase (data, model=None)
Base class for Record and RecordBase.
```

Initialize instance with dictionary data and SQLAlchemy model.

Parameters

- data Dict with record metadata.
- **model** *RecordMetadata* instance.

created

Get creation timestamp.

dumps (**kwargs)

Return pure Python dictionary with record metadata.

id

Get model identifier.

replace_refs()

Replace the *\$ref* keys within the JSON.

revision_id

Get revision identifier.

updated

Get last updated timestamp.

validate(**kwargs)

Validate record according to schema defined in *\$schema key*.

Keyword Arguments

• format_checker - A format_checker is an instance of class jsonschema. FormatChecker containing business logic to validate arbitrary formats. For example:

```
>>> from jsonschema import FormatChecker
>>> from jsonschema.validators import validate
>>> checker = FormatChecker()
>>> checker.checks('foo')(lambda el: el.startswith('foo'))
<function <lambda> at ...>
>>> validate('foo', {'format': 'foo'}, format_checker=checker)
```

returns None, which means that the validation was successful, while

raises a jsonschema.exceptions.ValidationError.

• validator – A jsonschema.IValidator class used for record validation. It will be used as *cls* argument when calling jsonschema.validate(). For example

```
>>> from jsonschema.validators import extend, Draft4Validator
>>> NoRequiredValidator = extend(
        Draft4Validator,
. . .
        validators={'required': lambda v, r, i, s: None}
. . .
...)
>>> schema = {
        'type': 'object',
. . .
        'properties': {
. . .
            'name': { 'type': 'string' },
. . .
            'email': { 'type': 'string' },
. . .
            'address': {'type': 'string' },
. . .
             'telephone': { 'type': 'string' }
. . .
        },
. . .
        'required': ['name', 'email']
. . .
>>> from jsonschema.validators import validate
>>> validate({}, schema, NoRequiredValidator)
```

returns None, which means that the validation was successful, while

```
>>> validate({}, schema) # doctest: +IGNORE_EXCEPTION_DETAIL
Traceback (most recent call last):
....
ValidationError: 'name' is a required property
....
```

raises a jsonschema.exceptions.ValidationError.

```
class invenio_records.api.RecordRevision(model)
    API for record revisions.
```

Initialize instance with the SQLAlchemy model.

```
class invenio_records.api.RevisionsIterator (model)
Iterator for record revisions.
```

Initialize instance with the SQLAlchemy model.

next()

Python 2.7 compatibility.

2.1.2 Configuration

Default values for records configuration.

```
invenio_records.config.RECORDS_VALIDATION_TYPES = {}
```

Pass additional types when validating a record against a schema. For more details, see: https:// python-jsonschema.readthedocs.io/en/latest/validate/#validating-types.

2.1.3 Errors

Errors for Invenio-Records module.

```
exception invenio_records.errors.MissingModelError
Error raised when a record has no model.
```

```
exception invenio_records.errors.RecordsError
Base class for errors in Invenio-Records module.
```

2.1.4 Models

Record models.

class invenio_records.models.**RecordMetadata**(**kwargs) Represent a record metadata.

A simple constructor that allows initialization from kwargs.

Sets attributes on the constructed instance using the names and values in kwargs.

Only keys that are present as attributes of the instance's class are allowed. These could be, for example, any mapped columns or relationships.

2.1.5 Signals

Record module signals.

invenio_records.signals.after_record_delete = <blinker.base.NamedSignal object at 0x7f0128; Signal sent after a record is deleted.

When implementing the event listener, the record data can be retrieved from kwarg['record'].

Note: Do not perform any modification to the record here: they will be not persisted.

invenio_records.signals.after_record_insert = <blinker.base.NamedSignal object at 0x7f01283 Signal sent after a record is inserted.

When implementing the event listener, the record data can be retrieved from *kwarg['record']*.

Note: Do not perform any modification to the record here: they will be not persisted.

invenio_records.signals.after_record_revert = <blinker.base.NamedSignal object at 0x7f0128; Signal sent after a record is reverted.

When implementing the event listener, the record data can be retrieved from kwarg['record'].

Note: Do not perform any modification to the record here: they will be not persisted.

invenio_records.signals.after_record_update = <blinker.base.NamedSignal object at 0x7f0128; Signal sent after a record is updated.

When implementing the event listener, the record data can be retrieved from *kwarg['record']*.

Note: Do not perform any modification to the record here: they will be not persisted.

invenio_records.signals.before_record_delete = <blinker.base.NamedSignal object at 0x7f012 Signal is sent before a record is deleted.

When implementing the event listener, the record data can be retrieved from *kwarg['record']*.

invenio_records.signals.before_record_insert = <blinker.base.NamedSignal object at 0x7f0129 Signal is sent before a record is inserted. When implementing the event listener, the record data can be retrieved from *kwarg['record']*. Example event listener (subscriber) implementation:

```
def listener(sender, *args, **kwargs):
    record = kwargs['record']
    # do something with the record
from invenio_records.signals import before_record_insert
before_record_insert.connect(listener)
```

invenio_records.signals.before_record_revert = <blinker.base.NamedSignal object at 0x7f012 Signal is sent before a record is reverted.

When implementing the event listener, the record data can be retrieved from kwarg['record'].

invenio_records.signals.before_record_update = <blinker.base.NamedSignal object at 0x7f012 Signal is sent before a record is updated.

When implementing the event listener, the record data can be retrieved from kwarg['record'].

2.2 Optimistic concurrency control

Invenio makes use of SQLAlchemy's version counter feature to provide optimistic concurrency control on the records table when the database transaction isolation level is below repeatable read isolation level (e.g. read committed isolation level which is the default in PostgreSQL).

Imagine the following sequence of events for two transactions A and B:

- 1. Transaction A reads existing record 1.
- 2. Transaction B reads existing record 1.
- 3. Transaction A modifies record 1.
- 4. Transaction B modifies record 1.
- 5. Transaction A commits.
- 6. Transaction B commits.

2.2.1 Repeatable read

Under either *serializable* and *repeatable read* isolation level, the transaction B in step 4 will wait until transaction A commits in step 5, and then produce an error as well as rollback then entire transaction B - i.e. transaction B never commits.

2.2.2 Read committed

Under *read committed* isolation level (which is the default in PostgreSQL), then again transaction B in step 4 will wait until transaction A commits in step 5, however transaction B will then try to update the record with the new value from transaction A.

The JSON document for a record is stored in a single column, thus under *read committed* isolation level, changes made by transaction A to the JSON document would be overwritten by transaction B.

To prevent this scenario under *read committed* isolation level, Invenio stores a version counter in the database table. The fields of the records table looks like this:

- id (uuid)
- json (jsonb)
- version_id (integer)
- created (timestamp)
- updated (timestamp)

When transaction A modifies the record in step 3, it does it with an UPDATE statement which looks similar to this:

```
UPDATE records_metadata
SET json=..., version_id=2
WHERE id=1 AND version_id=1
```

When transaction B tries to modify the record in step 4 it uses the same UPDATE statement. As described above, transaction B then waits until transaction A commits in step 5. However, now the WHERE condition (id=1 and version_id=1) will no longer match the record's row in the database (because version_id is now 2). Thus transaction B will update 0 rows and make SQLAlchemy throw an error about stale data, and afterwards rollback the transaction.

Thus, the version counter prevents scenarios that could cause concurrent transactions to overwrite each other under read committed isolation level.

Note: The version counter does not prevent concurrent transactions to overwrite each other's data if you update many records in a single UPDATE statement. Normally this is not possible if you use the *Record* API.

If, however, you use the low-level SQLAlchemy model *RecordMetadata* directly, it is possible to execute UPDATE statements that update multiple rows at once and you should be very careful and be aware of details (or e.g. change your isolation level to repeatable read).

2.2.3 REST API

The version counter is also used in the REST API to provide concurrency control. The version counter is provided in an ETag header when a record is retrieved via the REST API. When a client then issues an update of a record and includes the version counter in the If-Match header, it's checked against the current record's version and refused if it doesn't match, thus preventing REST API clients to overwrite each other's changes.

CHAPTER 3

Additional Notes

Notes on how to contribute, legal information and changes are here for the interested.

3.1 Contributing

Contributions are welcome, and they are greatly appreciated! Every little bit helps, and credit will always be given.

3.1.1 Types of Contributions

Report Bugs

Report bugs at https://github.com/inveniosoftware/invenio-records/issues.

If you are reporting a bug, please include:

- Your operating system name and version.
- Any details about your local setup that might be helpful in troubleshooting.
- Detailed steps to reproduce the bug.

Fix Bugs

Look through the GitHub issues for bugs. Anything tagged with "bug" is open to whoever wants to implement it.

Implement Features

Look through the GitHub issues for features. Anything tagged with "feature" is open to whoever wants to implement it.

Write Documentation

Invenio-Records could always use more documentation, whether as part of the official Invenio-Records docs, in docstrings, or even on the web in blog posts, articles, and such.

Submit Feedback

The best way to send feedback is to file an issue at https://github.com/inveniosoftware/invenio-records/issues.

If you are proposing a feature:

- Explain in detail how it would work.
- Keep the scope as narrow as possible, to make it easier to implement.
- Remember that this is a volunteer-driven project, and that contributions are welcome :)

3.1.2 Get Started!

Ready to contribute? Here's how to set up invenio-records for local development.

- 1. Fork the inveniosoftware/invenio-records repo on GitHub.
- 2. Clone your fork locally:

\$ git clone git@github.com;your_name_here/invenio-records.git

3. Install your local copy into a virtualenv. Assuming you have virtualenvwrapper installed, this is how you set up your fork for local development:

```
$ mkvirtualenv invenio-records
$ cd invenio-records/
$ pip install -e .[all]
```

4. Create a branch for local development:

\$ git checkout -b name-of-your-bugfix-or-feature

Now you can make your changes locally.

5. When you're done making changes, check that your changes pass tests:

\$./run-tests.sh

The tests will provide you with test coverage and also check PEP8 (code style), PEP257 (documentation), flake8 as well as build the Sphinx documentation and run doctests.

6. Commit your changes and push your branch to GitHub:

```
$ git add .
$ git commit -s
    -m "component: title without verbs"
    -m "* NEW Adds your new feature."
    -m "* FIX Fixes an existing issue."
    -m "* BETTER Improves and existing feature."
    -m "* Changes something that should not be visible in release notes."
$ git push origin name-of-your-bugfix-or-feature
```

7. Submit a pull request through the GitHub website.

3.1.3 Pull Request Guidelines

Before you submit a pull request, check that it meets these guidelines:

- 1. The pull request should include tests and must not decrease test coverage.
- 2. If the pull request adds functionality, the docs should be updated. Put your new functionality into a function with a docstring.
- 3. The pull request should work for Python 2.7, 3.3, 3.4 and 3.5. Check https://travis-ci.org/inveniosoftware/ invenio-records/pull_requests and make sure that the tests pass for all supported Python versions.

3.2 Changes

Version 1.3.2 (released 2020-05-27)

• Fixes a bug causing incorrect revisions to be fetched. If record.commit() was called multiple times prior to a db.session.commit(), there would be gaps in the version ids persisted in the database. This meant that if you used record.revisions[revision_id] to access a revision, it was not guaranteed to return that specific revision id. See #221

Version 1.3.1 (released 2020-05-07)

- Deprecated Python versions lower than 3.6.0. Now supporting 3.6.0 and 3.7.0.
- Removed dependency on Invenio-PIDStore and releated documentation. Functionality was removed in v1.3.0.

Version 1.3.0 (released 2019-08-01)

• Removed deprecated CLI.

```
Version 1.2.2 (released 2019-07-11)
```

• Fix XSS vulnerability in admin interface.

Version 1.2.1 (released 2019-05-14)

- Relax Flask dependency to v0.11.1.
- Version 1.2.0 (released 2019-05-08)
 - Allow to store RecordMetadata in a custom db table.
- Version 1.1.1 (released 2019-07-11)
 - Fix XSS vulnerability in admin interface.
- Version 1.1.0 (released 2019-02-22)
 - Removed deprecated Celery task.
 - Deprecated CLI
- Version 1.0.2 (released 2019-07-11)
 - Fix XSS vulnerability in admin interface.

Version 1.0.1 (released 2018-12-14)

- Fix CliRunner exceptions.
- Fix JSON Schema URL.

Version 1.0.0 (released 2018-03-23)

• Initial public release.

3.3 License

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